

ESS Technology, Inc. Statement Regarding the use of Conflict Minerals

The Dodd-Frank Wall Street Reform and Consumer Protection Act was signed into U.S. federal law on July 21, 2010. Section 1502 of the Act defines the reporting obligations for companies that are publicly traded in the US and are governed by the Securities & Exchange Commission (SEC). Section 1502 requires companies that make products for which 3TG minerals (tantalum, tin, tungsten and gold) are necessary to the functionality or production of that product to assess their supply chains and publicly report on the source of these minerals if they are sourced from the Democratic Republic of Congo (DRC) or adjoining country or if they are from recycled or scrap sources.

This document contains ESS Technology Inc.'s ("ESS Technology") statement regarding the content of Conflict Minerals and our products. This statement is based upon information provided by ESS Technology's suppliers and affiliates worldwide.

Conflict Minerals" refers to specific minerals originating from mines controlled by armed groups in the DRC or adjoining countries (i.e., Sudan, Uganda, Rwanda, Burundi, United Republic of Tanzania, Zambia, Angola, Congo, Central African Republic), which are controlled by non-government military groups, or unlawful military factions. The specific minerals: gold (Au), tantalum (Ta), tungsten (W) and tin (Sn), are used in certain semiconductor manufacturing processes.

ESS Technology Inc. requires all our suppliers to undertake reasonable due diligence within their supply chain to ensure that the minerals are not being sourced from mines in conflict areas. ESS Technology Inc. suppliers are required to source minerals from the authorized smelters found in the current published list of Electronic Industry Citizenship Coalition-Global e-Sustainability Initiative (EICC-GeSI).

ESS Technology Inc. does not knowingly use these minerals and their byproducts, as specified by the Conflict Minerals Trade Act.

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